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## Pseudopotential Form Factor and Interionic Potential in Simple Metals: Many-Electron Effects\*

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We construct a pseudopotential form factor and a metallic interionic potential using Shaw's nonlocal model potential and the many-electron screening due to Singwi *et al.* for eight simple metals. We have particularly examined the role of many-electron effects and found them to contribute significantly to the pseudopotential form factor in the region of  $k_F < q < 2.2k_F$  and to be essential in determining a realistic interionic potential. In addition, we have studied the effects of exchange and correlation on the residual resistivity due to vacancies, the resistivity of liquid metals, the interatomic force constants, and the sound velocity. The inclusion of many-electron effects invariably improves the agreement between theory and experiment.

### I. INTRODUCTION

Pseudopotential theory has proven to be a versatile and useful technique in understanding various properties of metals.<sup>1,2</sup> It is now possible to investigate such diverse properties as lattice dynamics, cohesive energy, optical absorption, and transport properties once an accurate pseudopotential is known for the metal. The basic starting point for investigating these properties is the pseudopotential form factor which consists of the ionic part (bare-ion pseudopotential) and the contribution from the conduction electrons resulting in the screening of the bare-ion pseudopotential. The bare-ion potential can be constructed in a semiempirical way, Ashcroft's<sup>3</sup> form factor is a typical example, or from first-principles considerations using results extrapolated from atomic spectroscopic data; the Heine-Abarenkov model potential<sup>4</sup> and the optimized model potential by Shaw<sup>5</sup> are good examples here. The contribution

to the form factor due to the conduction electrons is generally treated in the self-consistent-field (SCF) approximation and incorporated through the use of a dielectric function either in the Hartree form or in a modified form, which includes the exchange and correlation effects (many-electron effects) among the conduction electrons. Since recent work on the interionic potential,<sup>6,7</sup> interatomic force constants,<sup>7</sup> and phonon spectra<sup>8-10</sup> have demonstrated within the local pseudopotential scheme the importance of many-electron effects, we wish to further investigate these effects in a nonlocal pseudopotential scheme. In Sec. II, we describe the procedure for constructing the pseudopotential form factor and the interionic potential which contain both the desired nonlocal bare-ion potential and the self-consistently determined many-electron screening. Results are presented here for eight simple metals. In addition, we have particularly examined and assessed the role of the exchange and correlation effects in influencing

various properties such as the residual resistivity due to vacancies, the resistivity of liquid metals, the interatomic force constants, and sound velocity. Details of such investigations are given in Sec. III. Our concluding remarks are presented in Sec. IV.

## II. PSEUDOPOTENTIAL FORM FACTOR AND INTERIONIC POTENTIAL

We begin constructing the pseudopotential form factor with Shaw's nonlocal Hartree results. Shaw's bare-ion model potential  $w_a^b(\vec{k})$  consists of two parts, a local contribution  $v_a$  and a nonlocal contribution  $F(\vec{k}, \vec{q})$ :

$$w_a^b(\vec{k}) = v_a + F(\vec{k}, \vec{q}). \quad (1)$$

Shaw then proceeded to include the contribution due to the conduction electrons using the Hartree screening via the familiar Hartree (or Lindhard) dielectric function  $\epsilon_H(q)$ ,

$$\epsilon_H(q) = 1 + \chi_H(q), \quad (2)$$

with

$$\chi_H(q) = \frac{4\pi Z e^2}{\Omega_0 q^2} \left( \frac{2}{3} E_F \right)^{-1} \left( \frac{1}{2} + \frac{4k_F^2 - q^2}{8k_F q} \ln \left| \frac{2k_F + q}{2k_F - q} \right| \right), \quad (3)$$

and the screened pseudopotential form factor becomes

$$w_a^H(\vec{k}) = \frac{v_a + v_{aq}}{\epsilon_H(q)} + F(\vec{k}, \vec{q}) + g(\vec{q}), \quad (4)$$

where  $v_{aq}$  is the local contribution due to the depletion hole as defined by Shaw and  $g(q)$  represents the screening due to the nonlocal part of the bare-ion potential and is therefore related to  $F(\vec{k}, \vec{q})$  and  $\epsilon_H(q)$ .

One now needs to include the exchange and correlation effects among the conduction electrons. In the SCF approximation, this can be easily accomplished by using a modified dielectric function  $\epsilon(q)$ ,<sup>11,4</sup> which differs from the Hartree result in the following manner:

$$\begin{aligned} \epsilon(q) &= 1 + [1 - f(q)] [\epsilon_H(q) - 1] \\ &= 1 + [1 - f(q)] \chi_H(q), \end{aligned} \quad (5)$$

with  $f(q)$  accounting for the many-electron correction. [ $f(q) = 0$  corresponds to Hartree screening.] The corresponding many-electron contribution to the pseudopotential form factor then becomes<sup>9</sup>

$$\Delta w_a(\vec{k}) = - [f(q)/\epsilon(q)] [w_a^H(\vec{k}) - w_a^b(\vec{k})], \quad (6)$$

and the desired pseudopotential form factor takes the form

$$w_a(\vec{k}) = w_a^H(\vec{k}) + \Delta w_a(\vec{k}). \quad (7)$$

The problem now is knowing  $f(q)$  accurately. Using various approximations, numerous authors have suggested forms for approximating the many-electron effects. Of them, the Hubbard-Sham<sup>11</sup> approximation, which considers only the exchange effects, yields

$$f(q)_{\text{HS}} = q^2 / 2(q^2 + k_F^2 + k_s^2), \quad (8)$$

and a similar version by Geldart and Vosko<sup>12</sup> gives

$$f(q)_{\text{GV}} = q^2 / 2(q^2 + \xi k_F^2). \quad (9)$$

For a more satisfactory  $f(q)$ , one must improve the above approximations by including the Coulomb correlation effects. Indeed, this has been successfully carried out recently by Singwi *et al.*<sup>13</sup> in the problem of the electron liquid. Their method consists of using a local-field correction, which depends on the pair correlation function, and solving in a self-consistent manner the set of equations that couples the dielectric function  $\epsilon'(q)$  (defined by the potential seen by a test charge) and the pair correlation function. In terms of the functions of  $f(q)$  and  $\chi_H(q)$  appearing in Eq. (5) for the modified dielectric function  $\epsilon(q)$ ,  $\epsilon'(q)$  is given by<sup>13,7</sup>

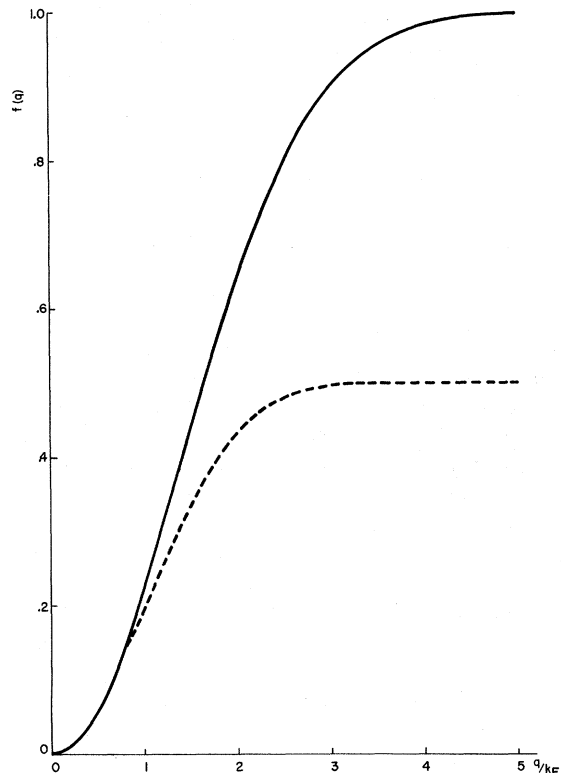


FIG. 1. Plot of the  $f(q)_{\text{SP}}$  function (dashed line) and  $f(q)_{\text{SSTL}}$  function (solid line) for sodium metal.

TABLE I. Pseudopotential form factor  $w_q(k_F)$  (in Ry) for eight simple metals.

$q/k_F$	Li	Be	Na	Mg	Al	K	Cd	In
0.00	-0.23128	-0.70548	-0.15890	-0.34964	-0.57328	-0.10386	-0.36734	-0.42368
0.10	-0.22811	-0.69475	-0.15672	-0.34470	-0.56460	-0.10206	-0.35963	-0.41681
0.20	-0.22467	-0.67616	-0.15485	-0.33761	-0.54954	-0.10090	-0.35346	-0.40786
0.30	-0.21897	-0.64608	-0.15175	-0.32600	-0.52519	-0.09893	-0.34321	-0.39828
0.40	-0.21101	-0.60572	-0.14740	-0.31011	-0.49246	-0.09619	-0.32913	-0.37361
0.50	-0.20091	-0.55671	-0.14183	-0.29037	-0.45266	-0.09272	-0.31150	-0.34951
0.60	-0.18866	-0.50091	-0.13503	-0.26722	-0.40733	-0.08845	-0.29069	-0.32186
0.70	-0.17443	-0.44031	-0.12709	-0.24133	-0.35819	-0.08346	-0.26718	-0.29151
0.80	-0.15839	-0.37697	-0.11804	-0.21338	-0.30697	-0.07777	-0.24157	-0.25949
0.90	-0.14080	-0.31274	-0.10800	-0.18417	-0.25545	-0.07145	-0.21439	-0.22679
1.00	-0.12194	-0.29434	-0.09713	-0.15450	-0.20515	-0.06461	-0.18641	-0.19429
1.10	-0.10815	-0.18815	-0.08560	-0.12520	-0.15744	-0.05732	-0.15824	-0.16272
1.20	-0.08191	-0.13020	-0.07368	-0.09699	-0.11338	-0.04978	-0.13051	-0.13267
1.30	-0.06148	-0.07624	-0.06159	-0.07049	-0.07375	-0.04213	-0.10378	-0.10449
1.40	-0.04131	-0.02667	-0.04961	-0.04629	-0.03897	-0.03460	-0.07844	-0.07833
1.50	-0.02177	0.01833	-0.03798	-0.02469	-0.00930	-0.02735	-0.05482	-0.05405
1.60	-0.00307	0.05884	-0.02696	-0.00595	0.01528	-0.02061	-0.03307	-0.03140
1.70	0.01452	0.09503	-0.01671	0.00985	0.03494	-0.01454	-0.01331	-0.00996
1.80	0.03092	0.12721	-0.00743	0.02272	0.04996	-0.00928	0.00459	0.01086
1.90	0.04606	0.15580	0.00083	0.03278	0.06066	-0.00492	0.02075	0.03168
2.00	0.06009	0.18146	0.00807	0.04029	0.06753	-0.00153	0.03543	0.05335
2.10	0.06695	0.18820	0.01308	0.04371	0.06882	0.00137	0.04366	0.06223
2.20	0.07170	0.19075	0.01688	0.04494	0.06726	0.00345	0.04976	0.06882
2.30	0.07479	0.19016	0.01960	0.04442	0.06359	0.00480	0.05400	0.07338
2.40	0.07644	0.18697	0.02136	0.04255	0.05828	0.00545	0.05672	0.07639
2.50	0.07691	0.18176	0.02230	0.03962	0.05183	0.00553	0.05813	0.07786
2.60	0.07639	0.17489	0.02255	0.03587	0.04454	0.00519	0.05841	0.07811
2.70	0.07502	0.16679	0.02222	0.03151	0.03678	0.00445	0.05779	0.07720
2.80	0.07298	0.15775	0.02139	0.02677	0.02880	0.00348	0.05638	0.07538
2.90	0.07039	0.14804	0.02021	0.02184	0.02081	0.00232	0.05439	0.07272
3.00	0.06739	0.13794	0.01871	0.01678	0.01300	0.00102	0.05191	0.06937
3.10	0.06403	0.12765	0.01698	0.01180	0.00555	-0.00035	0.04905	0.06539
3.20	0.06044	0.11736	0.01511	0.00696	-0.00144	-0.00170	0.04590	0.06095
3.30	0.05669	0.10721	0.01312	0.00233	-0.00784	-0.00309	0.04251	0.05612
3.40	0.05286	0.09738	0.01107	-0.00198	-0.01361	-0.00439	0.03903	0.05099
3.50	0.04898	0.08793	0.00897	-0.00592	-0.01866	-0.00561	0.03549	0.04563
3.60	0.04517	0.07896	0.00693	-0.00948	-0.02296	-0.00672	0.03191	0.04020
3.70	0.04137	0.07055	0.00491	-0.01261	-0.02648	-0.00776	0.02838	0.03474
3.80	0.03771	0.06275	0.00300	-0.01529	-0.02928	-0.00860	0.02495	0.02932
3.90	0.03423	0.05561	0.00114	-0.01755	-0.03133	-0.00936	0.02158	0.02403
4.00	0.03089	0.04910	-0.00058	-0.01931	-0.03265	-0.00994	0.01838	0.01895
4.10	0.02776	0.04322	-0.00214	-0.02063	-0.03333	-0.01040	0.01537	0.01415
4.20	0.02480	0.03804	-0.00360	-0.02156	-0.03337	-0.01070	0.01251	0.00969

TABLE I. (Continued)

$q/k_F$	Li	Be	Na	Mg	Al	K	Cd	In
4.30	0.02210	0.03345	-0.00488	-0.02206	-0.03289	-0.01086	0.00986	0.00557
4.40	0.01958	0.02947	-0.00600	-0.02218	-0.03189	-0.01088	0.00744	0.00189
4.50	0.01732	0.02605	-0.00700	-0.02194	-0.03047	-0.01082	0.00524	-0.00133
4.60	0.01528	0.02315	-0.00788	-0.02142	-0.02869	-0.01062	0.00325	-0.00409
4.70	0.01346	0.02071	-0.00846	-0.02062	-0.02663	-0.01032	0.00149	-0.00637
4.80	0.01186	0.01867	-0.00896	-0.01958	-0.02435	-0.00992	-0.00007	-0.00817
4.90	0.01042	0.01701	-0.00932	-0.01836	-0.02191	-0.00944	-0.00139	-0.00949
5.00	0.00920	0.01569	-0.00952	-0.01702	-0.01941	-0.00890	-0.00252	-0.01040

$$\epsilon'(q) = 1 + \chi_H(q) / [1 - f(q)\chi_H(q)] \quad (10)$$

This immediately reveals that  $\epsilon(q)$  and  $\epsilon'(q)$  are related by

$$\epsilon'(q) = \epsilon(q) / [1 - f(q)\chi_H(q)] \quad (11)$$

and are, in general, not the same unless for the special case of Hartree screening, namely,  $f(q) = 0$ . The work of Singwi *et al.* dealt with solving a self-consistent  $\epsilon'(q)$ . Through Eq. (10), one immediately obtains the self-consistent  $f(q)$  which can then be used to obtain the many-electron correction to the pseudopotential form factor.

It should be mentioned that Shaw and Pynn<sup>9</sup> have recently also sought to improve Shaw's nonlocal Hartree pseudopotential by incorporating the many-electron effects in a phenomenological way. The  $f(q)$  function they have adopted is given by

$$f(q)_{\text{SP}} = \frac{1}{2}(1 - e^{-q^2/\beta k_F^2}) + (\gamma q^2/k_F^3)e^{-\alpha q^2/\gamma k_F}, \quad (12)$$

with  $\beta = 2$ ,  $\gamma = 0.0123$ , and  $\alpha = 0.0538$ .

In Fig. 1, we compare  $f(q)_{\text{SP}}$  with  $f(q)$  due to Singwi *et al.* [ $f(q)_{\text{SSTL}}$ ] for sodium metal. Indeed,  $f(q)_{\text{SP}} \approx \frac{2}{3}f(q)_{\text{SSTL}}$  near  $q = 2k_F$  and becomes  $\frac{1}{2}f(q)_{\text{SSTL}}$  for  $q > 3.5k_F$ . The large  $q$  behavior of  $f(q)_{\text{SP}}$ , namely,  $f(q)_{\text{SP}} \rightarrow \frac{1}{2}$ , is also manifest in  $f(q)_{\text{HS}}$  and  $f(q)_{\text{GV}}$ , which consider only the exchange effects. The major difference between  $f(q)_{\text{SSTL}}$  and the other  $f(q)$  functions thus lies in the region of  $k_F < q < \infty$  and is, of course, due to the inclusion of correlation effects in  $f(q)_{\text{SSTL}}$ .

We have calculated  $w_q(k_F)$  [Eq. (7)] in the region of  $0 < q < 5k_F$  for eight simple metals. Such results are listed in Table I. In order to assess the significance of the many-electron correction term, we plot in Fig. 2  $\Delta w_q(k_F)$  for sodium, magnesium, and aluminum. Notice that  $\Delta w_q(k_F)$  increases as the valence number is increased. In general, we find that  $\Delta w_q(k_F)$  peaks around  $q = k_F$  and gradually tapers off to zero around  $q = 3.5k_F$ . Due to the fact that  $w_q^H(k_F)$  is large and negative at the origin [ $w_q^H(k_F) = -\frac{2}{3}E_F$  at  $q = 0$ ] and generally has a node in the region of  $1.4k_F < q < 2.1k_F$ , the correction arising from the electron-electron interaction is extremely important for  $k_F < q < 2.2k_F$ . Using sodium as an example, we find that  $\Delta w_q(k_F)/w_q^H(k_F) \approx 18\%$  at  $q = k_F$  and becomes 50% near  $q = 1.8k_F$ . It is therefore clear that one cannot ignore these corrections when examining any property that depends on the pseudopotential in the region of  $k_F < q < 2.2k_F$ . This will be further borne out in Sec. III when we examine in detail the role of many-electron effects in specific cases.

We now turn to the study of interionic potential in metals which was first discussed by Cohen<sup>14</sup> and investigated by Harrison.<sup>1</sup> Following earlier work of Shyu and Gaspari,<sup>15</sup> the interionic poten-

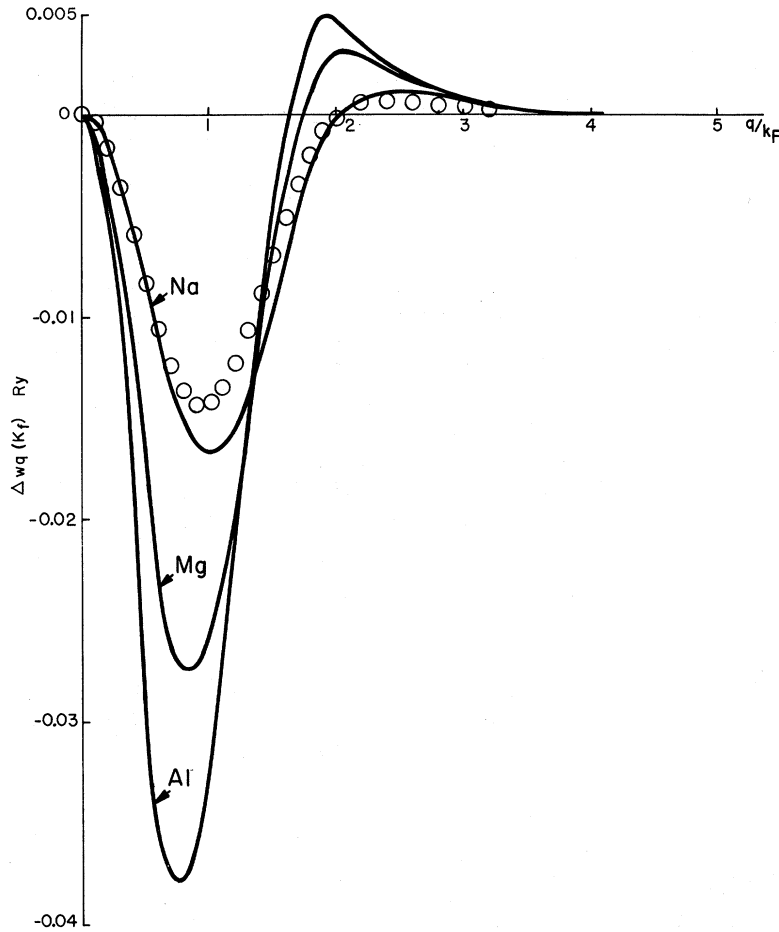


FIG. 2. Many-electron contribution  $\Delta w_q(k_F)$  to the pseudopotential form factor for sodium, magnesium, and aluminum metals. The circles give  $\Delta w_q(k_F)$  in sodium using  $f(q)_{SP}$  screening.

tial  $V(r)$  in metals can be written in terms of a direct ion-ion interaction and an indirect ion-electron-ion interaction, which is most easily represented through a  $G(q)$  function, first introduced by Cochran<sup>16</sup> in connection with phonon spectra studies:

$$V(r) = \frac{Z^2 e^2}{r} - \frac{2Z^2 e^2}{\pi} \int_0^\infty G(q) \frac{\sin qr}{qr} dq, \quad (13)$$

where  $G(q)$  is related to the pseudopotential form factor and the dielectric screening function. One should also remark that this  $G(q)$  function is closely related to Harrison's<sup>1</sup> energy-wave-number characteristic in energy-band calculations and is identical to Shaw's<sup>5</sup> normalized energy-wave-number characteristics.

Based on the nonlocal model potential, Shaw has obtained the ion-electron-ion function  $G_H(q)$  using Hartree screening. As before, we need to incorporate the many-electron effects. A consideration of such contribution leads to a correction term  $\Delta G(q)$  given by<sup>9</sup>

$$\Delta G(q) = \left( \frac{\Omega_0 q^2}{4\pi Z^*} \right)^2 \frac{\epsilon_H(q)}{\epsilon(q)} f(q) [w_a^H(\vec{k}) - w_a^b(\vec{k})]^2. \quad (14)$$

This can be easily calculated using again the

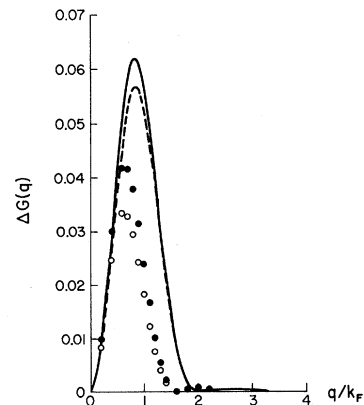


FIG. 3. Many-electron contribution  $\Delta G(q)$  to the ion-electron-ion function for sodium (solid line), potassium (dashed line), and aluminum metal (dots). The circles give  $\Delta G(q)$  in aluminum using  $f(q)_{SP}$  screening.

TABLE II. Ion-electron-ion function  $G(g)$  for eight simple metals.

$q/k_F$	Li	Be	Na	Mg	Al	K	Cd	In
0.0	1.00000	1.00000	1.00000	1.00000	1.00000	1.00000	1.00000	1.00000
0.1	0.9675	0.98675	0.99219	0.98841	0.98595	0.99189	0.99050	0.98771
0.2	0.96540	0.94792	0.96904	0.95430	0.94480	0.96788	0.96237	0.95166
0.3	0.92331	0.88614	0.93131	0.89955	0.87951	0.92885	0.91687	0.89427
0.4	0.86651	0.80556	0.88020	0.82718	0.79457	0.87618	0.85597	0.81923
0.5	0.79702	0.71129	0.81739	0.74106	0.69575	0.81173	0.78228	0.73122
0.6	0.71737	0.60903	0.74490	0.64575	0.58936	0.73775	0.69901	0.63537
0.7	0.63048	0.50452	0.66512	0.54606	0.48179	0.65677	0.60971	0.53686
0.8	0.53963	0.40302	0.58074	0.44682	0.37879	0.57160	0.51812	0.44049
0.9	0.44826	0.30909	0.49464	0.35247	0.28513	0.48518	0.42792	0.35023
1.0	0.35983	0.22616	0.40974	0.26669	0.20417	0.40050	0.34246	0.26912
1.1	0.27759	0.15648	0.32891	0.19226	0.13778	0.32034	0.26453	0.19905
1.2	0.20437	0.10121	0.25470	0.13082	0.08839	0.24726	0.19623	0.14088
1.3	0.14231	0.06034	0.18915	0.08284	0.04920	0.18312	0.13882	0.09451
1.4	0.09275	0.03306	0.13370	0.04780	0.02456	0.12923	0.09275	0.05922
1.5	0.05613	0.01780	0.08904	0.02432	0.01012	0.08614	0.05776	0.03381
1.6	0.03203	0.01252	0.05510	0.01044	0.00345	0.05353	0.03294	0.01691
1.7	0.01911	0.01463	0.03114	0.00388	0.00192	0.03041	0.01698	0.00702
1.8	0.01512	0.02124	0.01581	0.00226	0.00340	0.01550	0.00821	0.00254
1.9	0.01683	0.02855	0.00734	0.00323	0.00563	0.00681	0.00467	0.00200
2.0	0.01794	0.02873	0.00358	0.00419	0.00636	0.00259	0.00379	0.00271
2.1	0.01590	0.02293	0.00225	0.00429	0.00570	0.00122	0.00340	0.00262
2.2	0.01530	0.02018	0.00179	0.00433	0.00515	0.00068	0.00346	0.00278
2.3	0.01484	0.01785	0.00193	0.00422	0.00453	0.00078	0.00374	0.00294
2.4	0.01420	0.01563	0.00222	0.00388	0.00382	0.00103	0.00395	0.00295
2.5	0.01336	0.01353	0.00247	0.00341	0.00306	0.00124	0.00405	0.00284
2.6	0.01236	0.01157	0.00261	0.00286	0.00235	0.00133	0.00403	0.00260
2.7	0.01124	0.00975	0.00260	0.00228	0.00170	0.00132	0.00386	0.00237
2.8	0.01004	0.00812	0.00246	0.00173	0.00115	0.00121	0.00360	0.00196
2.9	0.00887	0.00667	0.00224	0.00124	0.00073	0.00103	0.00329	0.00168
3.0	0.00770	0.00542	0.00194	0.00084	0.00044	0.00083	0.00296	0.00131
3.1	0.00662	0.00437	0.00164	0.00054	0.00024	0.00064	0.00262	0.00101
3.2	0.00562	0.00348	0.00132	0.00031	0.00012	0.00047	0.00229	0.00076
3.3	0.00471	0.00276	0.00098	0.00016	0.00008	0.00034	0.00197	0.00057
3.4	0.00385	0.00218	0.00076	0.00010	0.00009	0.00025	0.00171	0.00041
3.5	0.00323	0.00169	0.00053	0.00006	0.00013	0.00020	0.00150	0.00030
3.6	0.00266	0.00132	0.00035	0.00008	0.00018	0.00019	0.00131	0.00020
3.7	0.00216	0.00103	0.00023	0.00013	0.00022	0.00019	0.00114	0.00016
3.8	0.00176	0.00080	0.00012	0.00018	0.00026	0.00024	0.00104	0.00014
3.9	0.00141	0.00062	0.00007	0.00021	0.00030	0.00028	0.00097	0.00013
4.0	0.00115	0.00049	0.00003	0.00026	0.00032	0.00034	0.00093	0.00012
4.1	0.00093	0.00038	0.00004	0.00030	0.00032	0.00040	0.00092	0.00013
4.2	0.00076	0.00028	0.00006	0.00032	0.00031	0.00045	0.00091	0.00015

TABLE II. (Continued)

$q/k_F$	Li	Be	Na	Mg	Al	K	Cd	In
4.3	0.00062	0.00022	0.00009	0.00033	0.00029	0.00049	0.00088	0.00016
4.4	0.00051	0.00017	0.00011	0.00032	0.00026	0.00052	0.00088	0.00017
4.5	0.00040	0.00014	0.00014	0.00030	0.00022	0.00051	0.00088	0.00017
4.6	0.00033	0.00011	0.00018	0.00028	0.00019	0.00051	0.00091	0.00017
4.7	0.00027	0.00009	0.00021	0.00025	0.00015	0.00052	0.00090	0.00016
4.8	0.00022	0.00007	0.00023	0.00021	0.00011	0.00049	0.00093	0.00015
4.9	0.00018	0.00006	0.00024	0.00018	0.00008	0.00044	0.00090	0.00014
5.0	0.00015	0.00006	0.00025	0.00014	0.00006	0.00039	0.00092	0.00012

self-consistent result of Singwi *et al.*, namely,  $f(q)_{\text{SSTL}}$ . Results of  $\Delta G(q)$  are illustrated in Fig. 3 for sodium, potassium, and aluminum metals. It is seen that  $\Delta G(q)$  generally peaks around  $q = 0.8k_F$  and becomes negligibly small after  $q > 2.2k_F$ . For comparison, we have also plotted  $\Delta G(q)$  arising from using  $f(q)_{\text{SP}}$  for aluminum metal, and it is seen to give a smaller value for  $\Delta G(q)$  as anticipated.

Upon combining  $\Delta G(q)$  with  $G_H(q)$ , we have now the appropriate ion-electron-ion  $G(q)$  function, which includes both the nonlocal potential and the many-electron screening,

$$G(q) = G_H(q) + \Delta G(q). \quad (15)$$

Values of  $G(q)$  are presented in Table II for eight simple metals. They represent not only the most convenient input function for generating the interionic potential but also for investigating phonon spectra.<sup>8</sup> In Figs. 4–8 we have plotted the interionic potential  $V(r)$  for eight simple metals generated by the above  $G(q)$  function. They show the long-range oscillatory behavior expected of the metallic interaction. To determine the extent of influence exerted by the many-electron effects on the interionic potential, we have also calculated  $V(r)$  using  $G(q)$  functions resulting from Hartree screening and Shaw-Pynn screening. It is seen that in the Hartree case there is a significant change in the shape of the interionic potential corresponding to the first few neighbor distances. This has been noted by Shyu and Gaspari<sup>6</sup> and by Shaw and Pynn<sup>9</sup> earlier and can be understood here in terms of the behavior of  $\Delta G(q)$  function and its contribution to the interionic potential. Later, through an analysis of the interatomic force constants and sound velocity, we will further demonstrate that the inclusion of many-electron effects is essential in obtaining a realistic potential.

### III. ANALYSIS AND COMPARISON WITH EXPERIMENT

In order to assess the importance of electron-electron interactions we choose to study four specific cases. They are the residual resistivity due to vacancies, the resistivity of liquid metals, the interatomic force constants, and the longitudinal sound velocity.

#### A. Resistivity of Vacancies in Simple Metals

Basically one needs to find the scattering potential due to the presence of vacancies. This is easily accomplished using again the linear screening approach, which treats the crystal potential as a superposition of the individually screened pseudopotentials. If one neglects the lattice distortion and assumes the vacancy concentration is small so that one has essentially a collection of singly isolated vacancies, one can easily derive the resistivity of vacancies using the standard

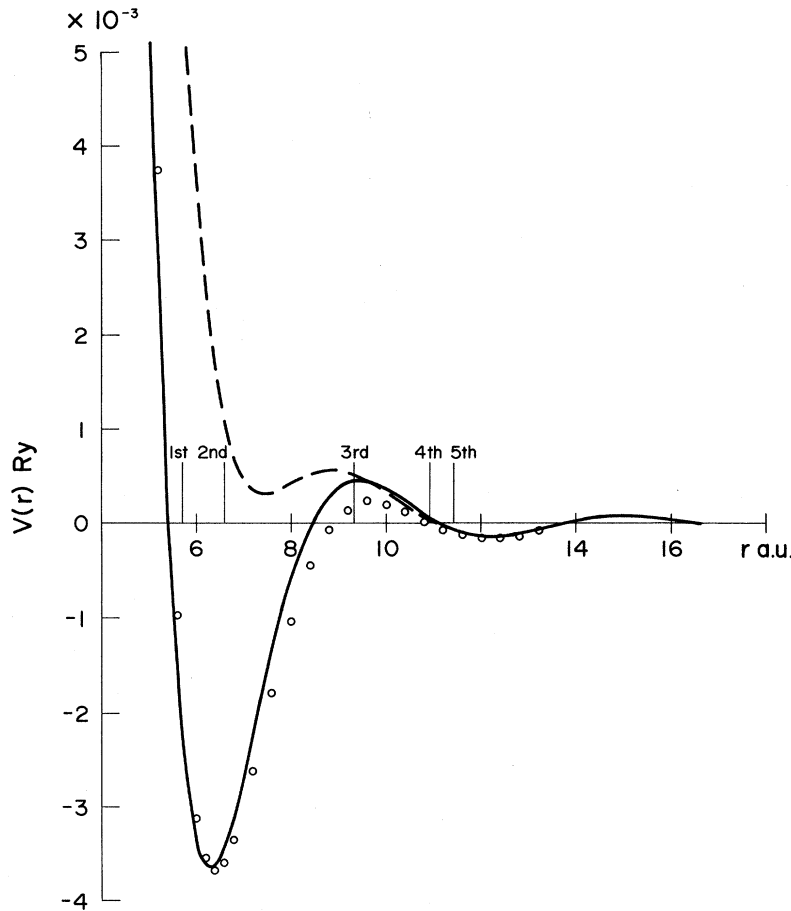


FIG. 4. Interionic potential  $V(r)$  as a function of the interionic separation  $r$  in lithium metal based on the self-consistent screening [circles,  $f(q)_{SP}$  screening; dashed line, Hartree screening].

scattering theory with the missing pseudopotential associated with the vacancy serving as the perturbation. The final result is<sup>1</sup>

$$\rho_v = \frac{3\pi m \Omega_0}{8e^2 \hbar E_F} \frac{1}{N} \int_0^2 |\langle \vec{k} + \vec{q} | w | \vec{k} \rangle|_{E_F}^2 \left( \frac{q}{k_F} \right)^3 d \left( \frac{q}{k_F} \right), \quad (16)$$

where  $\langle \vec{k} + \vec{q} | w | \vec{k} \rangle|_{E_F}$  is simply the individual pseudopotential form factor corresponding to scattering on the Fermi surface and the factor  $1/N$  is usually expressed in terms of atomic percentage so one can set  $N=100$ .

Based on our earlier analysis, Eq. (16) immediately reveals that the resistivity of vacancies  $\rho_v$  will depend sensitively on the many-electron screening. To determine the exact extent of such influence, here we calculate  $\rho_v$  using for the pseudopotential form factor both  $w_a^H(k_F)$  and  $w_a(k_F)$  as described in Sec. II. Our results which are listed in Table III show that in general the many-electron contribution to  $\rho_v$  is about 16–33% and is more important for metals of large  $r_s$  values, such as Na and K. For comparison, we have also cal-

culated  $\rho_v$  using pseudopotential form factor with many-electron screening included in the Shaw-Pynn manner [via  $f(q)_{SP}$  function] as described in Sec. II. This yields a resistivity larger than the Hartree result but is somewhat smaller than the one obtained using  $f(q)_{SSTL}$  which is to be attributed to the underestimation of the correlation effects in the  $f(q)_{SP}$  function. No reasonable comparison between theory and experiment is possible here due to the lack of experimental results although there is some information regarding Al which gives  $\rho_v$  a value of  $2.2 \mu\Omega \text{ cm/at. } \%$ <sup>17</sup> and  $3.0 \mu\Omega \text{ cm/at. } \%$ <sup>18</sup>. It should be mentioned that Harrison<sup>19</sup> has calculated  $\rho_v$  in Al to be  $0.8 \mu\Omega \text{ cm/at. } \%$  using an orthogonalized-plane-wave (OPW) form factor with Hartree screening. His result compares fairly well with ours.

We reiterate here that many-electron effects are important in determining  $\rho_v$ , although a meaningful comparison of theory and experiment will require the availability of experimental results in these metals and a refinement of the theoretical model to include effects of lattice distortion which could conceivably be large owing to the long-range nature of the interionic force in these metals.



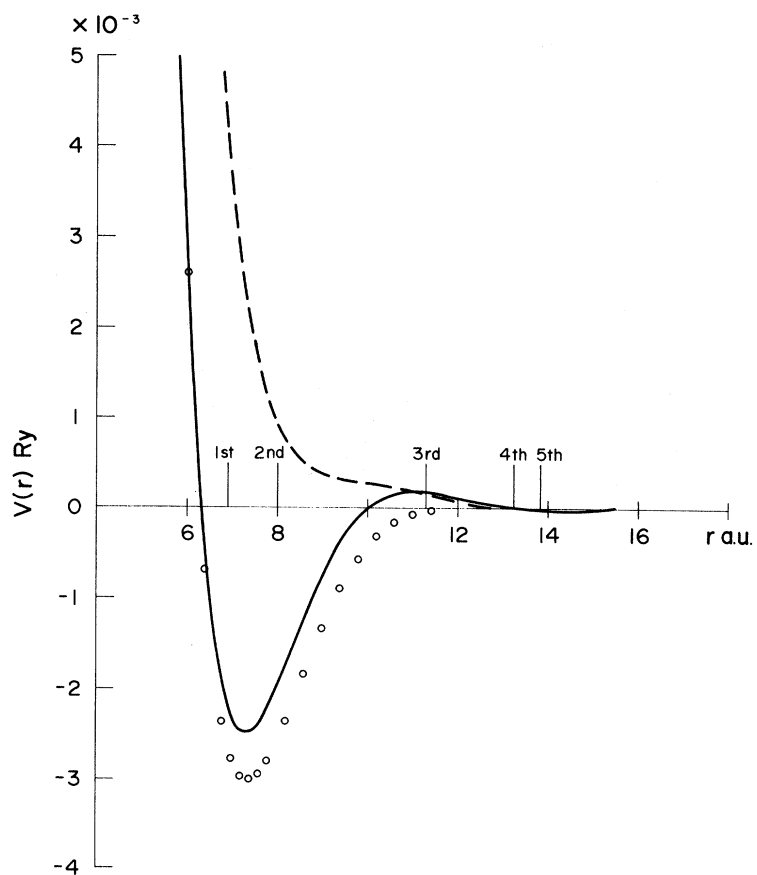


FIG. 5. Interionic potential in sodium metal based on the self-consistent screening [circles,  $f(q)_{SP}$  screening; dashed line, Hartree screening].

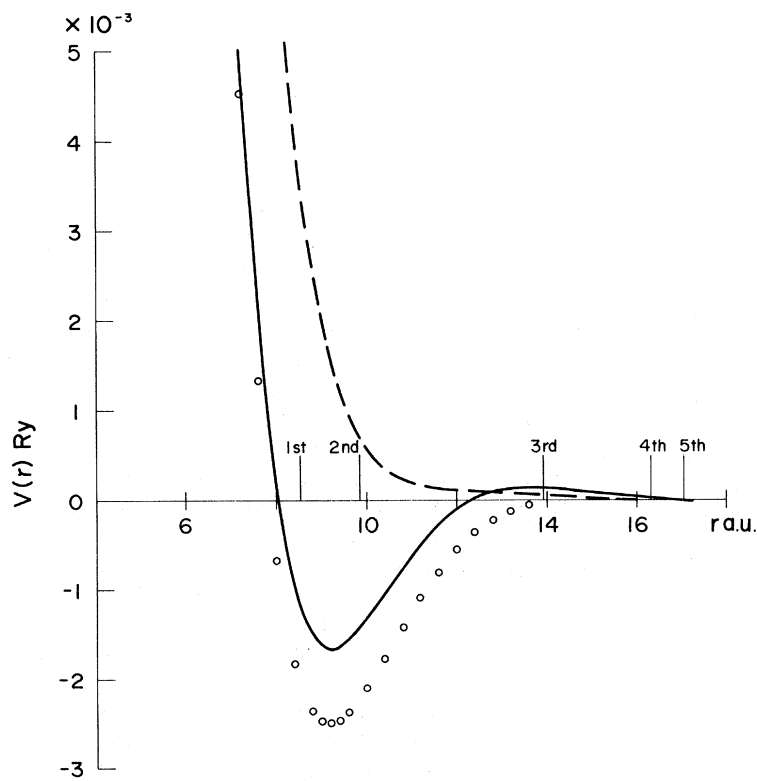


FIG. 6. Interionic potential in potassium metal based on the self-consistent screening [circles,  $f(q)_{SP}$  screening; dashed line, Hartree screening].

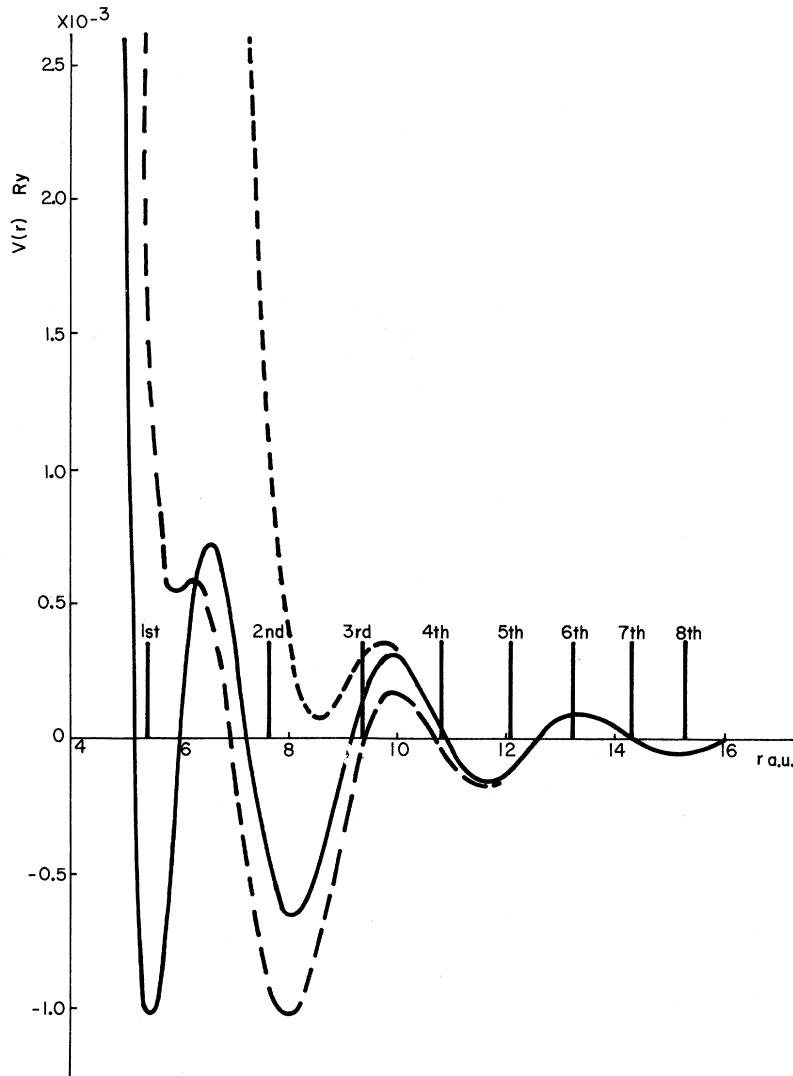


FIG. 7. Interionic potential in aluminum metal based on the self-consistent screening [dashed line,  $f(q)_{SP}$  screening; short dashed line, Hartree screening].

### B. Resistivity of Liquid Metals

The situation here is similar to Sec. III A. One needs to find the scattering potential arising out of the ionic arrangement in the liquid state. Using the pseudoatom approach, Ziman<sup>20</sup> has formulated this problem in terms of an individual pseudopotential associated with the properly screened ion and a structure factor which describes the ionic configuration in the liquid metal. His formula for the resistivity of the liquid metal is as follows:

$$\rho_{11q} = \frac{3\pi m \Omega_0}{8e^2 \hbar E_F} \int_0^2 a(q) |\langle \vec{k} + \vec{q} | w | \vec{k} \rangle|_{E_F}^2 \left(\frac{q}{k_F}\right)^3 d\left(\frac{q}{k_F}\right), \quad (17)$$

where  $a(q)$  is the liquid structure factor and all density-dependent parameters are to be evaluated at the appropriate liquid density.

Our main interest is again in determining the many-electron contribution. In evaluating  $\rho_{11q}$ , we have used as before three separate pseudopotential form factors corresponding to Hartree,  $f(q)_{SP}$ , and  $f(q)_{SSL}$  screening. Theoretically, these pseudopotential form factors should be recomputed for the correct liquid density here. Since it is known<sup>21</sup> that this density correction will only affect the final result by a few percent, we have ignored this modification here. For  $a(q)$ , we have chosen the theoretical Ashcroft-Lekner<sup>22</sup> result with the packing parameter  $\eta = 0.45$ . Our results in Table IV show that many-electron effects contribute about 9–38% to  $\rho_{11q}$  and are essential in bringing the theoretical value to closer agreement with experiment. Except for Li and Cd, the agreement between theory and experiment is generally very good, in spite of the uncertainties in the pseudopotential, structure factor, and experimental measurements.

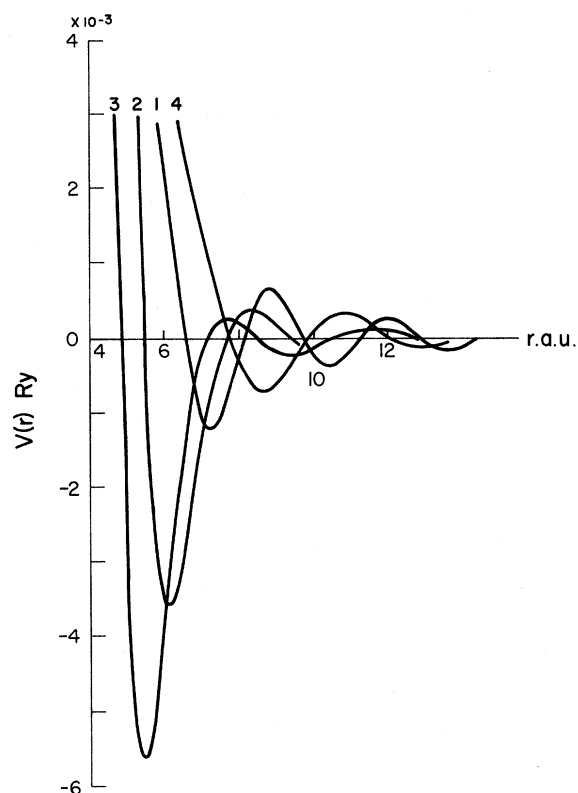


FIG. 8. Interionic potential in beryllium (curve 1), magnesium (curve 2), cadmium (curve 3), and indium metal (curve 4).

### C. Interatomic Force Constants

We choose to analyze the interatomic force constants here since they are related to the shape of the interionic potential, namely, the first and second derivatives of the interionic potential. Furthermore, results of the neutron scattering measurement on phonon spectra have often been analyzed in terms of the Born-von Kármán force constants evaluated at different lattice sites which are merely various linear combinations of the tangential force constant  $k_t$  and radial force constant  $k_r$ , given by

$$k_t = \frac{1}{r} \frac{dV}{dr} = -\frac{e^2}{r^3} - \frac{2e^2}{\pi r^2} \int_0^\infty G(q) \left( \cos qr - \frac{\sin qr}{qr} \right) dq, \quad (18)$$

TABLE III. Resistivity of vacancies in simple metals.

Metal	$r_s$ (a. u.)	$\rho_v$ ( $\mu\Omega$ cm/at. %)		
		Hartree $f(q)=0$	$f(q)_{SP}$	$f(q)_{SSTL}$
Li	3.22	0.578	0.719	0.763
Be	1.87	0.522	0.591	0.621
Na	3.99	0.774	1.061	1.138
Mg	2.64	0.572	0.717	0.767
Al	2.07	0.526	0.632	0.673
K	4.87	1.028	1.451	1.531
Cd	2.58	0.721	0.910	0.981
In	2.41	0.882	1.089	1.167

$$k_r = \frac{d^2V}{dr^2} = \frac{2e^2}{r^3} - \frac{2e^2}{\pi r} \int_0^\infty G(q) \times \left( \frac{2 \sin qr}{qr^2} - \frac{2 \cos qr}{r} - q \sin qr \right) dq. \quad (19)$$

Knowing  $G(q)$ , one can easily calculate  $k_t$  and  $k_r$  to form the proper Born-von Kármán force constants (interatomic force constants) and compare with experiments. Indeed, this is carried out here with our  $G(q)$  function for cubic metals such as lithium, sodium, potassium, and aluminum where experimental force constants exist from

TABLE IV. Resistivity of liquid metals (in units of  $\mu\Omega$  cm).

Metal	Theory			Expt <sup>a</sup>
	Hartree $f(q)=0$	$f(q)_{SP}$	$f(q)_{SSTL}$	
Li	13.8	15.1	15.8	25
Be	63.5	67.5	69.8	...
Na	6.1	8.6	9.5	9.6
Mg	19.2	22.3	23.9	27.4
Al	18.9	21.9	23.4	24.2
K	8.9	13.2	14.4	13.0
Cd	14.4	18.1	20.0	33.7
In	27.0	34.0	37.4	33.1

<sup>a</sup>See Ref. 22.

TABLE V. Interatomic force constants (dyn/cm) for lithium metal

Shell	Type of atoms	Interatomic force const	Hartree	Theory		Expt <sup>a</sup>
			$f(q)=0$	$f(q)_{SP}$	$f(q)_{SSTL}$	
1	$\frac{1}{2}a(1, 1, 1)$	$K_{xx}$	3047	3082	3041	2320 ± 32
		$K_{xy}$	4581	3983	3832	2520 ± 58
2	$\frac{1}{2}a(2, 0, 0)$	$K_{xx}$	4219	3037	2723	678 ± 71
		$K_{yy}$	-295	94	144	153 ± 48
3	$\frac{1}{2}a(2, 2, 0)$	$K_{xx}$	-137	-306	-319	-285 ± 28
		$K_{zz}$	-15	21	9	110 ± 40
		$K_{xy}$	122	-327	-327	-152 ± 46
4	$\frac{1}{2}a(3, 1, 1)$	$K_{xx}$	108	62	98	185 ± 33
		$K_{yy}$	-5	-8	-9	-116 ± 22
		$K_{xy}$	14	9	13	22 ± 27
		$K_{yz}$	42	26	40	-113 ± 34
5	$\frac{1}{2}a(2, 2, 2)$	$K_{xx}$	51	46	57	158 ± 20
		$K_{xy}$	63	56	71	-89 ± 57
6	$\frac{1}{2}a(4, 0, 0)$	$K_{xx}$	21	22	30	-266 ± 63
		$K_{yy}$	6	7	7	8 ± 38
7	$\frac{1}{2}a(3, 3, 1)$	$K_{xx}$	-30	-33	-35	-3 ± 18
		$K_{zz}$	-1	-1	-1	-8 ± 12
		$K_{xy}$	-33	-37	-38	-22 ± 13
		$K_{xz}$	-11	-12	-13	30 ± 27

<sup>a</sup>H. G. Smith, G. Dolling, R. M. Nicklow, P. R. Vijayaraghavan, and M. K. Wilkinson, in Proceedings of the Conference on Inelastic Neutron Scattering, Copenhagen, Denmark, 1968, p. 149 (unpublished).

neutron scattering data. Such results are given in Tables V-VIII together with force constants calculated based on  $G(q)$  functions determined using Hartree screening and Shaw-Pynn screening. In general, we find that the inclusion of many-electron effects invariably improves the agreement between theory and experiment although excellent

agreement is only achieved in sodium and potassium metal. The slight disagreement in aluminum metal could be due to the neglect of anharmonic effects there. In lithium metal, however, the much too large theoretical force constants could be attributed to the neglect of modeling the  $l=1$  component in Shaw's nonlocal bare-ion potential as first

TABLE VI. Interatomic force constants (dyn/cm) for sodium metal.

Shell	Interatomic force const	Hartree	Theory		
		$f(q)=0$	$f(q)_{SP}$	$f(q)_{SSTL}$	Expt <sup>a</sup>
1	$K_{xx}$	1370	1328	1277	1178
	$K_{xy}$	1991	1548	1461	1320
2	$K_{xx}$	1532	690	528	472
	$K_{yy}$	-117	110	115	104
3	$K_{xx}$	0	-80	-67	-38
	$K_{zz}$	-9	6	-4	0
	$K_{xy}$	9	-86	-63	-65
4	$K_{xx}$	34	19	43	52
	$K_{yy}$	2	1	2	-7
	$K_{xy}$	4	2	5	14
	$K_{yz}$	12	7	16	3
5	$K_{xx}$	12	10	16	17
	$K_{xy}$	12	10	17	33

<sup>a</sup>A. D. B. Woods, B. N. Brockhouse, R. H. March, A. T. Stewart, and R. Bowers, Phys. Rev. **128**, 1112 (1962).

TABLE VII. Interatomic force constants (dyn/cm) for potassium metal.

Shell	Interatomic force const	Hartree	Theory		
		$f(q)=0$	$f(q)_{SP}$	$f(q)_{SSTL}$	Expt <sup>a</sup>
1	$K_{xx}$	797	771	744	786
	$K_{xy}$	1168	915	888	895
2	$K_{xx}$	926	444	394	432
	$K_{yy}$	-72	56	47	29
3	$K_{xx}$	-2	-46	-34	-41
	$K_{zz}$	-3	5	-1	12
	$K_{xy}$	1	-51	-33	-54
4	$K_{xx}$	9	2	16	2
	$K_{yy}$	1	0	0	-4
	$K_{xy}$	1	0	2	2
	$K_{yz}$	3	1	6	1
5	$K_{xx}$	4	3	7	6
	$K_{xy}$	4	4	8	4

<sup>a</sup>R. A. Cowley, A. D. B. Woods, and G. Dolling, Phys. Rev. **150**, 487 (1966).

TABLE VIII. Interatomic force constants (dyn/cm) in aluminum metal.

Shell	Type of Atoms	Interatomic force const	Hartree	Theory		Expt <sup>a</sup>
			$f(q)=0$	$f(q)_{SP}$	$f(q)_{SSTL}$	
1	$\frac{1}{2}a(1, 1, 0)$	$K_{xx}$	15623	10411	8788	10107
		$K_{zz}$	-4207	-925	-232	-1334
		$K_{xy}$	19830	11337	9019	11444
2	$\frac{1}{2}a(2, 0, 0)$	$K_{xx}$	3611	1685	1545	2452
		$K_{yy}$	-295	-77	-95	-529
3	$\frac{1}{2}a(2, 1, 1)$	$K_{xx}$	-311	-514	-500	-625
		$K_{yy}$	-61	-87	-89	-182
		$K_{xy}$	-166	-285	-274	-296
		$K_{yz}$	-83	-143	-137	-148
4	$\frac{1}{2}a(2, 2, 0)$	$K_{xx}$	77	45	55	271
		$K_{zz}$	-27	-24	-29	321
		$K_{xy}$	104	69	84	-50
5	$\frac{1}{2}a(3, 1, 0)$	$K_{xx}$	146	161	185	461
		$K_{yy}$	26	30	32	227
		$K_{zz}$	11	14	13	198
		$K_{xy}$	45	49	58	88
6	$\frac{1}{2}a(2, 2, 2)$	$K_{xx}$	-74	-84	-87	142
		$K_{xy}$	-76	-87	-90	-109
7	$\frac{1}{2}a(3, 2, 1)$	$K_{xx}$	36	35	37	-64
		$K_{yy}$	12	11	12	-94
		$K_{zz}$	-2	-3	-3	-111
		$K_{xy}$	29	28	30	36
		$K_{xz}$	14	14	15	18
8	$\frac{1}{2}a(4, 0, 0)$	$K_{xx}$	128	142	150	-534
		$K_{yy}$	2	2	2	-116

<sup>a</sup>G. Gilat and R. M. Nicklow, Phys. Rev. **143**, 487 (1966).

suggested by Bortolani and Pizzichini.<sup>23</sup>

#### D. Sound Velocity

We have further tested the accuracy of the  $G(q)$  function by analyzing the sound velocity. Basically one sets up the equation of motion for ions as in studying phonon spectra and obtains the  $\omega$ -vs- $q$  dispersion relation. The sound velocity  $s$  is thus easily derived from  $s = \omega/q|_{q \rightarrow 0}$ . Details of the formalism and calculational procedure have been given earlier by Shyu and Gaspari<sup>24</sup> so only the final results are presented here. Again, results for Hartree screening and Shaw-Pynn screening have been separately obtained. They are all compiled in Table IX and compared with available data from ultrasound measurements.

#### IV. CONCLUDING REMARKS

We have dealt with the problem of constructing the pseudopotential form factor, which consists of a nonlocal bare-ion potential and a self-consistently determined many-electron screening. In a similar way, an ion-electron-ion  $G(q)$  function was constructed to generate the interionic potential in

TABLE IX. Sound velocity in metallic lithium, sodium, potassium, and aluminum.

Metal	Direction of propagation	Sound velocity (km/sec)			Expt
		Hartree $f(q)=0$	$f(q)_{SP}$	$f(q)_{SSTL}$	
Li	[100]	8.41	6.74	6.76	...
	[110]	9.56	8.15	8.18	6.69 <sup>a</sup>
	[111]	9.92	8.56	8.60	...
Na	[100]	3.85	2.90	2.95	2.895 <sup>b</sup>
	[110]	4.56	3.81	3.87	3.628 <sup>b</sup>
	[111]	4.78	4.07	4.13	...
K	[100]	2.79	2.16	2.30	...
	[110]	3.34	2.84	2.96	2.71 <sup>c</sup>
	[111]	3.50	3.04	3.16	...
Al	[100]	8.29	6.18	5.70	...
	[110]	8.89	6.63	6.05	6.642 <sup>d</sup>
	[111]	9.08	6.77	6.16	...

<sup>a</sup>H. C. Nash and C. S. Smith, J. Phys. Chem. Solids **9**, 113 (1959).

<sup>b</sup>M. E. Diederich and J. Trivisonno, J. Phys. Chem. Solids **27**, 637 (1966).

<sup>c</sup>W. R. Marquardt and J. Trivisonno, J. Phys. Chem. Solids **26**, 273 (1965).

<sup>d</sup>G. N. Kamm and G. A. Alers, J. Appl. Phys. **35**, 327 (1964).

metals. Since no arbitrarily adjustable parameter is involved in this calculation, the pseudopotential form factor should serve as meaningful and useful input in studying various properties, such as electronic and optical properties<sup>25</sup> of simple metals. Furthermore, the interionic potential represents the most convenient and basic starting point for investigating lattice relaxation effects around point defects in metals<sup>26</sup> and liquid-metal dynamics.<sup>27</sup>

In addition, we have particularly examined the role of exchange and correlation on the interionic potential. We see that upon inclusion of the many-electron effects, a well-pronounced minimum in  $V(r)$  is produced in the region between the first and second neighbors, while such behavior is completely absent if one uses Hartree screening. This minimum in  $V(r)$  is well supported by the neutron scattering data on phonon spectra and can be further understood in the following manner. Instead of analyzing it in terms of the interatomic force constants as done earlier, let us use only the tangential force constant which is defined as  $k_t = (1/r) \times (dV/dr)$ . Now for bcc structure, we have

$$\text{at first neighbor: } k_t(1) = k_{xx}(1) - k_{yy}(1);$$

$$\text{at second neighbor: } k_t(2) = k_{yy}(2).$$

Using the experimental results on the interatomic force constants derived from neutron scattering measurement listed in Tables VI–VIII, we obtain for Li,  $k_t(1) = -200$  dyn/cm and  $k_t(2) = 153$  dyn/cm; for Na,  $k_t(1) = -142$  dyn/cm and  $k_t(2) = 104$  dyn/cm; and for K,  $k_t(1) = -109$  dyn/cm and  $k_t(2) = 29$  dyn/cm. This says that values of  $dV(r)/dr$  evaluated at the first and second neighbor are of opposite sign which suggests that  $V(r)$  goes through a minimum in the region between the first and second neighbors. As we have seen earlier such behavior is observed if one includes the exchange and correlation corrections to the ion-electron  $G(q)$  function. It may be interesting to carry out directly a full detailed calculation of phonon spectra in the  $q$  space, particularly for the non-cubic metals like Be, Mg, Cd, and In, where no detailed careful force-constant analysis exists.

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